




QUESTION BANK 2022-2023

 THIRUTHANGAL NADAR COLLEGE <small>Chennai</small> <small>A Self-Financing Unaffiliated College of Arts & Science</small> <small>Affiliated to the University of Madras</small> <small>Accredited with 'B' Grade by NAAC</small> <small>No. 123-9001/2011 Certified Institution</small>	
QUESTION BANK	SUBJECT CODE: CYA5B
DEPARTMENT OF CORPORATE SECRETARYSHIP-SHIFT-II	
SECURITIES LAW AND MARKET OPERATION	2 MARKS
1	what is new issue market?
2	give the need for SEBI?
3	what is the function of secondary market?
4	who is a promoter?
5	what do you mean by listing of securities?
6	Expand the term BOLT?
7	what is BSE sensex?
8	what is the need for index numbers on stock market?
9	what is a depository?
10	what do you mean by allotment of shares?
11	name the stock exchanges in tamilnada?
12	what is mutual fund?
13	What are securities?
14	State the objectives of SEBI?
15	What do you mean by underwriting?
16	Expand the terms BSE and NSE?
17	What is dematerialization?
18	What is custodial service?
19	Who is a lead manager?
20	What is bankers to an issue?
21	Who is compliance officer?
22	Define stock exchange?
23	Who is merchant banker?
24	List out the need for security market indicators?
25	state the rights an investor?
26	who is a stipendiary ombudsman?
27	who is commission brokers?
28	what is market making?
29	state the features of OTCEO?
30	what is internet broking?



5 MARKS	
1	Who are the players in the new issue market?
2	What do you know about OTCEI?
3	Give the procedure for demat trading?
4	Write short note on securities contract regulation act 1956?
5	Discuss the procedure in depository system?
6	Explain the powers of SEBI?
7	What are the types of underwriting?
8	explain the advantage of NSE?
9	what is NIFTY? Briefly explain?
10	what is price discovery and fixed price offer?
11	state the advantages of underwriting of securities?
12	explain the reasons for popularization of depository system in India?
13	Define custodial services as per SEBI regulations?
14	registration of custodians of securities with the SEBI?
15	Explain any two schemes that can be offered by a mutual fund?
16	Outline the features of margin trading?
17	explain the origin structure and management of a stock exchange?
18	Discuss the methods of footing shares in new issue market?
19	Discuss the important provisions of securities contract?
20	Explain the functions of new issue market?

10 MARKS	
1	Discuss the functions of brokers?
2	Briefly explain the functions of Stock Exchange?
3	Different between the OTCEI and traditional Stock Exchange?
4	Explain the functions of SEBI?
5	Who is depository? Dematerialisation and Rematerialisation?
6	what are the SEBI regulations for Mutual Funds?
7	what are the role of SEBI towards protection of investors?
8	Relationship between the primary markets and secondary markets?
9	Write short note on Advantages to the shareholders or investors?
10	whia are the listing procedures ?
11	what are the Features of OTCEI?
12	Write short notes on: (a) initial margin (b) ad hoc margin and(c) daily margin
13	Explain the Depositories Act 1996?
14	Explain the reasons for popularisation of depository system India?
15	what are the SEBI regulations for safeguarding depository environment?



71. What are the segments of Securities Market?
 72. What is the role of 'Registrar' to an issue?
 73. What are the components of derivative market?
 74. What are the advantages of primary market?
 75. What are the objectives of depository?
 76. What are the Objectives of SEBI?
 77. What are the different kinds of underwriters?
 78. Discuss the functions of new issue market
 79. What are the duties of registrar to the issue?
 80. Discuss the role and responsibility of underwriters?
 81. What are the advantages of listing of securities?
 82. Discuss Condition for admission Members and Dealers of OTCEI?
 83. Explain the advantages of New Issue market.
 84. What are the main objects of SEBI?
 85. Discuss the role of a merchant Banker in corporatesector.
 86. What are the various advantages of underwriting?
 87. What are the benefits of listing?
 88. Bring out the differences between OTCEI andconventional (Regular) stock Exchange.
 89. State the regulations of SEBI on the mutualfunds.
 90. What are the objectives of SEBI?
 91. What are the different types of mutual funds.
 92. What are the advantages of underwriting?
 93. What are the advantages of OTCEI?
 94. What are the advantages of NSE?
 95. State the types of broker.
 96. What are the powers in SEBI?
 97. What are the SEBI guidelines for issue of
 - a. Bonus shares and
 - b. Right shares?
 98. Discuss the methods of floating shares in new issue market.
 99. Classify the brokers in a stock exchange.
 100. Discuss the functions of stock exchanges in India.
 101. Explain the method of trading in OTCEI.
 102. Explain the role of intermediary institutions in the developments of the stock market in India.
- SECTION- C**
103. What are the various options available for investment?
 104. What are the different types of Mutual Funds?
 105. What are the features of debt instruments?
 106. What are the powers of the stock exchanges?
 107. Describe the powers of SEBI.
 108. Discuss Powers of SEBI?
 109. Explain functions of stock exchange?
 110. What are the Parties involved in the Primary Market?
 111. What are the procedures for listing of securities?
 112. Explain advantages of OTCEI?
 113. Explain the advantages of New Issue market.
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 115. Discuss the role of a merchant Banker in corporatesector.
 116. What are the various advantages of underwriting?
 117. What are the benefits of listing?



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(Belongs to the Charitable Trust Thiruthangal Nadar Christian Church of South India)

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Department of Corporate Secretaryship-Sem-III & IV

Subject Name – Securities law & Market Operation

Subject Code: AY24B

QUESTION BANK

PART - A

1. Who is a lead manager?
2. What is money market?
3. What is a Prospectus?
4. What is meant by 'Listing of securities'?
5. What is meant by 'Securities'?
6. What is an 'Investment'?
7. What is a 'Debt Instrument'?
8. What is a Derivative?
9. What is meant by a stock Exchange?
10. Who does regulate the Securities Market?
11. What is Nifty?
12. How can one acquire securities in the debtmaker?
13. What are the various department in SEBI?
14. What do you mean by Development function?
15. What do you mean by primary market?
16. What do you mean by Private Placement?
17. Define book building?
18. What are the methods of Underwriting?
19. What you mean by Public issue?
20. What do you mean by Advertising Agencies?
21. What do you mean by underwriters?
22. What do you mean by Commission Brokers?
23. What is Market Making?
24. What is Ringless trading?
25. What do you mean by sponsorship scrips?



28. Discuss the functions of new issue market
29. What are the duties of registrar to the issue?
30. Discuss the role and responsibility of underwriters?
31. What are the advantages of listing of securities?
32. Discuss Condition for admission Members and Dealers of OTCEI?
33. What is derivatives?
34. What is new issue market?
35. What is secondary market?
36. Mention any two merits of underwriting.
37. What do you mean by Brokers?
38. What is Gilt Edged securities?
39. What is Stock Exchange?
40. Define OTCEI.
41. What are the various types of listing?
42. What is Scripless Trade?
43. What do you understand by Dematting?
44. What is Nifty?
45. What is SEBI?
46. What is Primary market?
47. What is secondary market?
48. What is right issue?
49. What is listing?
50. What is NSE?
51. What is Book building?
52. What is mutual fund?
53. What is BSE?
54. What is OTCEI?
55. What is NIFTY?
56. Who is Underwriter?
57. How will you classify financial markets in India?
58. What is capital market?
59. What is public issue?
60. What are the content of a prospectus?
61. What is origination?
62. Who is remisers (or) sub-brokers?
63. What is listing of shares?
64. What is internet broking?
65. State the features of NSE.
66. Define : Mutual fund (MF).
67. Give the objectives of depository.
68. What is insider trading?



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 113. Explain the advantages of New Issue market.



**DEPARTMENT OF CORPORATE SECRETARYSHIP - SHIFT I
MID SEMESTER – SEP 2022
COMPANY LAW AND SECRETARIAL PRACTICE**

CLASS: II B.Com (CS) A&B

MARKS: 75

SUB CODE: AY23B

TIME: 3 Hrs

Section – A

ANSWER ANY TEN OF THE FOLLOWING

10x2=20

1. Define Company.
2. Who is a promoter?
3. Define Government Company.
4. What is Memorandum of Association?
5. Define the term Articles.
6. What is prospectus?
7. Define Share capital.
8. Who can be a member?
9. Define shareholder.
10. Define the term secretary.
11. What is alteration of share capital?
12. Define dividend.

Section – B

ANSWER ANY FIVE OF THE FOLLOWING

5x5=25

13. What do you mean by company? What are its characteristics?
14. State the different types of companies.
15. What is Memorandum of Association? State its purposes.
16. What are Articles of Association? State its contents.
17. Explain contents of a Prospectus.
18. Explain the Rights and responsibilities of the members.
19. What are the qualifications of a company secretary?

Section - C

ANSWER ANY THREE OF THE FOLLOWING

3x10=30

20. Explain the legal procedures regarding the of prospectus?.
21. Difference between Memorandum of Association and Articles of Association.
22. Explain the stages of the incorporation of the company?
23. What are the duties and powers of the secretary?
24. Explain the difference between the transfer and transmission of shares?



THIRUTHANGAL NADAR COLLEGE
DEPARTMENT OF CORPORATE SECRETARYSHIP-SHIFT- I
MID-SEMESTER EXAMINATION- SEP 2022

CLASS: III BCOM (CS) A & B
SUB: Marketing

MAX MARKS: 75
DURATION: 3 HOURS

PART A
(Attend all questions) (2x10=20 marks)

1. Define marketing.
2. What are the different kinds of markets?
3. What do you mean by consumer market?
4. Define market segmentation.
5. What is marketing environment?
6. What is micro environment?
7. Write short note on industrial market.
8. Define buying motives.
9. What is market mix?
10. What is pricing?
11. What is consumer behavior?
12. What are self actualization needs?

PART B
(Attend all questions) (5x5 = 25 marks)

1. Give the points of difference between market and marketing.
2. What are the various levels of market segmentation?
3. Discuss the importance of marketing.
4. Is marketing a science or an Art?
5. Explain briefly various types of buying motives.
6. Examine Maslow's need theory?
7. Explain the consumer's market segmentation?

PART B
(Attend any three questions) (3x10= 30 marks)

1. Give briefly the various kinds of marketing.
2. What are the various functions of marketing? Explain briefly.
3. Explain briefly what the different variables of market segmentation.
4. Discuss briefly various factors of marketing environment.
5. Explain the types of pricing?



DEPARTMENT OF CORPORATE SECRETARYSHIP – Shift - I
MODEL EXAMINATION – NOVEMBER 2022
MARKETING

III.B.COM (CS) A&B
SUB CODE: AY35A

Time: 3.00 Hrs.
Marks: 75

Section – A

ANSWER ANY TEN QUESTIONS:

10 X 2 = 20

1. Define Marketing.
2. What is Market segmentation?
3. What is Packaging?
4. Define E-Marketing?
5. What are consumer goods?
6. What is odd pricing?
7. What do you mean by Buying?
8. Define sales promotion?
9. Define the term Advertising.
10. Who are Wholesalers?
11. Define Primary Data.
12. Define the term goods.

Section – B

ANSWER ANY FIVE QUESTIONS:

5 X 5 = 25

1. Write features and objectives of Marketing?
2. What are the elements of Market Segmentation?
3. Briefly describe the Maslow's Classification of Basic needs?
4. List down the stages in the product life cycle.
5. What are the factors to be considered before introducing a new product?
6. Distinguish between sales promotion and selling.
7. Briefly explain the scope for marketing research?

Section – C

ANSWER ANY THREE QUESTIONS:

10 X 3 = 30

1. What is the importance of marketing?
2. What is meant by Market targeting? Explain the various steps in market targeting?
3. Write an essay on the reactions of customers and competitors to price changes?
4. What are channels of distribution? Explain briefly about the basic channels of distribution.
5. What are the classifications of Advertising Media?



DEPARTMENT OF CORPORATE SECRETARYSHIP – Shift - I
MODEL EXAMINATION – NOVEMBER 2022
COMPANY LAW AND SECRETARIAL PRACTICE

ILB.COM (CS) A & B
SUB CODE: AY23B

Time: 3.00 Hrs.
Marks: 75

Section – A

ANSWER ANY TEN QUESTIONS:

10 X 2 = 20

1. What do you mean by Company?
2. What is Ultra vires?
3. What is shelf prospectus?
4. What do you mean by Bonus Issue?
5. Define Member.
6. Who is a shareholder?
7. What do you mean by Agenda?
8. Who is an Independent Director?
9. What do you mean by Winding up?
10. Define Directors.
11. What do you mean by share warrants?
12. What is Doctrine of constructive notice?

Section – B

ANSWER ANY FIVE QUESTIONS:

5 X 5 = 25

1. Give any five points of distinction between a private company and a public company?
2. What do you mean by member? What are the liabilities of members?
3. Distinguish between Preference share & Equity share capital.
4. What are the positions of the directors in the company?
5. What are the legal provisions Regarding Annual General Meeting?
6. Explain the different kinds of secretary briefly?
7. Distinguish between Members & Creditors voluntary Winding up?

Section – C

ANSWER ANY THREE QUESTIONS:

10 X 3 = 30

1. What are the advantages and limitations of the company?
2. What is the Classification of share capital?
3. Write down the points of who can be a member?
4. What are the liabilities of Directors?
5. What do you mean by minutes & what are the legal provisions regarding minutes.